



**Policy, Finance and
Development
Committee**

**Tuesday, 25 July
2017**

**Matter for
Information**

Title: **Internal Audit Progress Report 2017/18**

Author(s): **Stephen Hinds (Director of Finance &
Transformation / Section 151 Officer)**

1. Introduction

- 1.1. This report summarises the work of the Internal Audit for the period since the last meeting of the Committee to the beginning of October 2017.
- 1.2. The purpose of the report is to update the Committee on progress made in delivering the 2017/18 Audit Plan and to provide an update in relation to management's implementation of internal audit recommendations.

2. Recommendation(s)

That Members note the content of the progress report for 2016/17 and 2017/18.

3. Information

- 3.1. The Internal Audit Plan for 2017/18 has been agreed and Section 5 of the attached Appendix gives details of planned audits over the coming months.
- 3.2. The purpose of the Report is to show progress against the Audit Plan (**Appendix 1**); and to summarise key findings and conclusions arising from the work performed during the period.
- 3.3. It should be noted that CW Audit carried out preliminary work to enable the authority to properly prepare for the implementation of General Data Protection Regulations (GDPR) on 25 May 2018.
- 3.4. The appendices also give details of the audits completed in 2016/17 and information on the audit recommendations (**Appendix 2**). Also included is the amended Internal Audit Charter for information purposes to demonstrate CW Audit's ongoing compliance with the revised Public Sector Internal Audit Standards (PSIAS) (**Appendix 3**).

Background Documents:

None.

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Implications Internal Audit Progress Report 2017/18	
Finance	There are no direct financial implications.
Stephen Hinds (Director of Finance and Transformation / Section 151 Officer)	
Legal	There are no direct legal implications.
Dave Gill (Deputy Monitoring Officer)	
Corporate Risk(s) (CR)	<input checked="" type="checkbox"/> Other Corporate Risk(s)
Stephen Hinds (Director of Finance & Transformation / Section 151 Officer)	Internal Audit is a key component of the Council's internal control framework. Outcomes of all internal audit reviews will be considered in the context of the strategic risk register.
Corporate Priorities (CP)	<input checked="" type="checkbox"/> Not Applicable
Stephen Hinds (Director of Finance & Transformation / Section 151 Officer)	
Vision & Values (V)	<input checked="" type="checkbox"/> Not Applicable
Stephen Hinds (Director of Finance & Transformation / Section 151 Officer)	
Equalities & Equality Assessment(s) (EA)	There are no equalities implications.
Stephen Hinds (Director of Finance & Transformation / Section 151 Officer)	<input checked="" type="checkbox"/> Not Applicable